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Application Number: 09/870,811

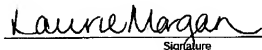
Filing Date: 5/31/2001

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1. Fee Transmittal
2. Response to Office Action Dated 06/15/2005

Total pages including cover sheet: 23

GS1-0011US  
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PTO/SB/17 (12-04)

Approved for use through 07/31/2005. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

**Complete if Known**

Application Number 09/870,811  
 Filing Date 5/31/2001  
 First Named Inventor Andrew J.R. Smith  
 Examiner Name Djeneane M Bayard  
 Art Unit 2141  
 Attorney Docket No. GS1 0011US

**METHOD OF PAYMENT (check all that apply)**

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	60				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	200				
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(C) and 37 CFR 1.16(e).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

**SUBMITTED BY**

Signature Jim Patterson Registration No. 52103 Telephone (509) 324-9256  
 Name (Print/Type) Jim Patterson Date Aug 23 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual facts. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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S/N 09/870,811

Response to Office Action Dated June 15, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

1 Application Serial No. ....09/870,811  
2 Filing Date ..... May 31, 2004  
3 Inventorship ..... Smith et al.  
4 Applicant ..... Goldman, Sachs and Co.  
5 Group Art Unit ..... 2141  
6 Examiner ..... Djenane Bayard  
7 Attorney's Docket No. .... GS1-0011US  
8 Title: Method and System for Processing Financial Data Objects Carried on  
9 Broadcast Data Streams and Delivering Information to Subscribing Clients

**RESPONSE TO OFFICE ACTION DATED JUNE 15, 2005**

8 To: Commissioner of Patents and Trademarks  
9 P.O. Box 1450  
10 Alexandria, Virginia 22313-1450

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S/N 09/870,811

Response to Office Action Dated June 15, 2005

**INTRODUCTORY COMMENTS**

Applicant respectfully requests entry of the following amendment and remarks contained herein in response to the Office Action mailed June 15, 2005.

Applicant respectfully submits that the amendment and remarks contained herein place the instant application in condition for allowance.

Amendments to the claims are reflected by the listing of claims that begins on page 3 of this document.

Remarks/Arguments start on page 19 of this document.